

Classifying toposes of “geometric” theories

Laurent LAFFORGUE

(Institut des Hautes Études Scientifiques)

- I. The notion of classifying topos
- II. Syntactic categories and classifying toposes
- III. Provability and Grothendieck topologies, presheaf-type theories

Lecture I:

The notion of classifying topos

This lecture series is devoted to the following theorem:

Theorem

(Lawvere, Makkai, Reyes, Joyal, ... building on Grothendieck, Hakim):

Let \mathbb{T} = first order “geometric” theory.

Then, there exists a topos

$$\mathcal{E}_{\mathbb{T}} = \text{“classifying topos of } \mathbb{T}\text{”}$$

endowed with a \mathbb{T} -model

$$U_{\mathbb{T}} = \text{“universal model of } \mathbb{T}\text{”}$$

such that, for any topos \mathcal{E} , the functor

$$\left\{ \begin{array}{l} \text{category of} \\ \text{toposes morphisms} \\ f : \mathcal{E} \rightarrow \mathcal{E}_{\mathbb{T}} \end{array} \right\} \longrightarrow \left\{ \begin{array}{l} \text{category} \\ \text{of } \mathbb{T}\text{-models} \\ \text{in } \mathcal{E} \end{array} \right\},$$

$$(f : \mathcal{E} \rightarrow \mathcal{E}_{\mathbb{T}}) \longmapsto f^* U_{\mathbb{T}} = \text{pull-back of } U_{\mathbb{T}} \text{ along } f$$

is an equivalence of categories.

Remarks:

- (i) The couple $(\mathcal{E}_{\mathbb{T}}, U_{\mathbb{T}})$ is uniquely determined by \mathbb{T} , up to equivalence.
- (ii) \mathbb{T} belongs to the world of logic = formalisation of mathematics.
- (iii) $\mathcal{E}_{\mathbb{T}}$ belongs to the world of geometry and topology.
For Grothendieck, the notion of topos is the most general notion of space.
- (iv) In particular, taking \mathcal{E} = topos of sets

$$\left\{ \begin{array}{l} \text{category of} \\ \text{points of } \mathcal{E}_{\mathbb{T}} \end{array} \right\} \longrightarrow \left\{ \begin{array}{l} \text{category of} \\ \text{set-theoretic models of } \mathbb{T} \end{array} \right\}$$

is an equivalence.

- (v) For any topos \mathcal{E} ,
there are infinitely many geometric theories \mathbb{T} such that

$$\mathcal{E} \cong \mathcal{E}_{\mathbb{T}}.$$

- (vi) If $\mathcal{E}_{\mathbb{T}_1} \cong \mathcal{E}_{\mathbb{T}_2}$,
 \mathbb{T}_1 and \mathbb{T}_2 are called “Morita equivalent”.

\Rightarrow Beginning of Olivia Caramello’s theory of “toposes as bridges”.

Basic examples of toposes:

- If $X =$ topological space,
 $\mathcal{E}_X =$ category of sheaves (of sets) on X .

Proposition:

- (i) *Points of X induce points of \mathcal{E}_X .
This is one-to-one if X is “sober”.*
- (ii) *Open subsets of X
correspond to “open subtoposes” of \mathcal{E}_X .*

Consequence:

- Toposes generalize topological spaces.
- They realize an embedding
of topology into category theory.

- If $G =$ group,
 $B_G =$ “classifying topos of G ”
= category of sets endowed with an action of G .

- If \mathcal{C} = small category,
 $\widehat{\mathcal{C}}$ = category of “presheaves” on \mathcal{C}
 = category of functors

$$\mathcal{C}^{\text{op}} \longrightarrow \text{Set}.$$

Proposition:

$\widehat{\mathcal{C}}$ is a completion of \mathcal{C} :

(i) (Yoneda)

$$y : \begin{array}{ccc} \mathcal{C} & \longrightarrow & \widehat{\mathcal{C}}, \\ X & \longmapsto & \text{Hom}(\bullet, X) \end{array}$$

is fully faithful.

(ii) Any object P of $\widehat{\mathcal{C}}$ is a colimit

$$P = \varinjlim_{(X,a) \in \int P} y(X)$$

where $\int P$ = category of “elements of P ”

X = object of \mathcal{C} ,

$a \in P(X)$.

General definition:

A topos \mathcal{E} is a category
which is both a quotient and a subcategory
of some $\widehat{\mathcal{C}}$ (for $\mathcal{C} =$ small category)
in the sense that there are 2 functors

such that
$$(\widehat{\mathcal{C}} \xrightarrow{j^*} \mathcal{E}, \mathcal{E} \xrightarrow{j_*} \widehat{\mathcal{C}})$$

- j^* (= “sheafification functor”) is left-adjoint to j_* ,
- j_* is fully faithful
($\Leftrightarrow j^* \circ j_* \rightarrow \text{id}_{\mathcal{E}}$ is an isomorphism),
- j^* respects finite limits.

Remarks:

- j_* respects arbitrary limits.
- j^* respects arbitrary colimits.
- If $\ell : \mathcal{C} \xrightarrow{y} \widehat{\mathcal{C}} \xrightarrow{j^*} \mathcal{E}$, any object E of \mathcal{E} can be written

$$E = \varinjlim_{(X,a) \in \int j_* E} \ell(X).$$

Grothendieck topologies:

Observation:

If $X = \text{object of } \mathcal{C}$, a subobject of $y(X) = \text{Hom}(\bullet, X)$ in $\widehat{\mathcal{C}}$

$$S \hookrightarrow y(X)$$

is a family S of morphisms $X' \rightarrow X$ stable under composition with any morphism $X'' \rightarrow X'$. This is called a “sieve”.

Definition:

- For $\mathcal{E} = \text{topos presented as } (\widehat{\mathcal{C}} \xrightarrow{j^*} \mathcal{E}, \mathcal{E} \xrightarrow{j_*} \widehat{\mathcal{C}})$, a sieve

$$S \hookrightarrow y(X)$$

is called “covering” if

$$(j^* S \hookrightarrow j^* y(X)) = \text{isomorphism in } \mathcal{E}.$$

- $J(X) = \text{family of covering sieves of } X$.
- $J = \text{indexed family of all } J(X), X \in \text{Ob}(\mathcal{C}),$
= topology on \mathcal{C} .

Theorem:

(i) If $J = \text{topology on } \mathcal{C}$, it verifies:

(Maximality) For any $X = \text{object of } \mathcal{C}$,
 $y(X) \in J(X)$.

(Stability) For any $X' \xrightarrow{f} X$
and $S \in J(X)$,
 $f^*S = S \times_{y(X)} y(X') \in J(X')$.

(Transitivity) If $S \in J(X)$
and $S' = \text{sieve of } X \text{ such that}$
 $f^*S' \in J(X')$ for any $(X' \xrightarrow{f} X) \in S$,
then $S' \in J(X)$.

(ii) Conversely, any indexed family of sieves

$$J = \{J(X) \mid X = \text{object of } \mathcal{C}\}$$

which verifies those three axioms defines a topos $\mathcal{E} = \widehat{\mathcal{C}}_J$

$$(\widehat{\mathcal{C}} \xrightarrow{J^*} \widehat{\mathcal{C}}_J, \widehat{\mathcal{C}}_J \xleftarrow{J_*} \widehat{\mathcal{C}}).$$

Properties of toposes:

= same constructive categorical properties as Set:

- Locally small category.
- Arbitrary colimits and arbitrary limits.
- For any morphism $E \rightarrow S$, the pull-back functor

$$E \times_S \bullet$$

respects arbitrary colimits.

- Sums are disjoint.
- For any object E , quotients

$$E \twoheadrightarrow Q$$

correspond to equivalence relations

$$R \hookrightarrow E \times E$$

by $R = E \times_Q E$,

$$Q = \varinjlim (R \rightrightarrows E).$$

- For any object E , subobjects of E and quotients of E make up sets.
- If $u : E' \rightarrow E$ is a monomorphism and an epimorphism, $u =$ isomorphism.

Theorem (Giraud):

Let

\mathcal{E} = category verifying these properties,

\mathcal{C} = small full subcategory of \mathcal{E}
such that any object X of \mathcal{E}
has an epimorphic family
 $X_i \rightarrow X$ with $X_i =$ object of \mathcal{C} , $\forall i$,

J = topology of \mathcal{C}
for which $S \hookrightarrow y(X)$ is covering
if it contains an epimorphic family
 $(X_i \rightarrow X)$.

Then

$$\mathcal{E} \cong \widehat{\mathcal{C}}_J$$

is a topos.

Basic examples of morphisms of toposes:

- If

$$X \xrightarrow{f} Y$$

is a continuous map between topological spaces, it induces a pair of adjoint functors:

$$\begin{array}{ccc} (\mathcal{E}_Y \xrightarrow{f^*} \mathcal{E}_X, & \mathcal{E}_X \xrightarrow{f_*} \mathcal{E}_Y) \\ \parallel & \parallel \\ \text{pull-back} & \text{push-forward} \end{array}$$

Furthermore, f^* respects finite limits.

- If $J = \text{topology on } \mathcal{C} = \text{small category}$,

$$(\widehat{\mathcal{C}} \xrightarrow{J^*} \widehat{\mathcal{C}}_J, \widehat{\mathcal{C}}_J \xrightarrow{J_*} \widehat{\mathcal{C}}).$$

- If $\rho : \mathcal{C} \rightarrow \mathcal{D}$ is a functor between two small categories, it induces:

$(\rho^* : \widehat{\mathcal{D}} \rightarrow \widehat{\mathcal{C}}) = \text{composition with } \rho$,

$\rho_* = \text{right adjoint of } \rho^*$,

$\rho_! = \text{left adjoint of } \rho^*$.

\Rightarrow Adjoint pair (ρ^*, ρ_*) such that ρ^* respects (arbitrary) limits.

General definition:

(i) A morphism of toposes

$$f : \mathcal{E}' \longrightarrow \mathcal{E}$$

is a pair of adjoint functor

$$f = (\mathcal{E} \xrightarrow{f^*} \mathcal{E}', \mathcal{E}' \xrightarrow{f_*} \mathcal{E})$$

whose pull-back component

f^* respects finite limits.

(ii) A transform of morphisms of toposes

$$(\mathcal{E}' \xrightarrow{f} \mathcal{E}) \longrightarrow (\mathcal{E}' \xrightarrow{g} \mathcal{E})$$

is a transform of functors

$$f^* \longrightarrow g^* \quad (\text{or equivalently } g_* \longrightarrow f_*).$$

(iii) The category of points of a topos \mathcal{E}

is the category of morphisms of toposes

$$\text{Set} \longrightarrow \mathcal{E}.$$

(iv) An embedding of toposes is a morphism

$$j = (\mathcal{E} \xrightarrow{j^*} \mathcal{E}', \mathcal{E}' \xrightarrow{j_*} \mathcal{E})$$

whose push-forward component j_* is fully faithful.

Remarks:

- Any continuous map between topological spaces

$$f : X \longrightarrow Y$$

induces a morphism of toposes

$$(f^* : \mathcal{E}_Y \longrightarrow \mathcal{E}_X, f_* : \mathcal{E}_X \longrightarrow \mathcal{E}_Y).$$

This is one-to-one if Y is sober.

- Any topology J on \mathcal{C} defines an embedding

$$\widehat{\mathcal{C}}_J \hookrightarrow \widehat{\mathcal{C}}.$$

- Any functor between small categories

$$\rho : \mathcal{C} \longrightarrow \mathcal{D}$$

defines a morphism of toposes

$$(\rho^* : \widehat{\mathcal{D}} \longrightarrow \widehat{\mathcal{C}}, \rho_* : \widehat{\mathcal{C}} \longrightarrow \widehat{\mathcal{D}})$$

whose pull-back component ρ^* also has a left adjoint

$$\rho_! : \widehat{\mathcal{C}} \longrightarrow \widehat{\mathcal{D}}.$$

Languages of first-order theories

Definition:

A first-order language (or signature) Σ consists in

- a family of “sorts” (= names of objects)
$$G, R, K, V, M, \dots$$
- a family of “function symbols” (= names of morphisms)
$$f : A_1 \cdots A_n \longrightarrow B \quad (\text{where } A_1, \dots, A_n, B = \text{sorts}),$$
- a family of “relation symbols” (= names of relations)
$$R \rhd A_1 \cdots A_n \quad (\text{where } A_1, \dots, A_n = \text{sorts}).$$

Remark:

If $n = 0$:

$(f : \rightarrow B)$ = “constant symbol”,

$(R \rhd)$ = “proposition symbol”.

Examples:

(i) Language of the theory of groups:

- one sort G (= “group”),
- three function symbols

$$\begin{array}{llll} \cdot & : & GG & \rightarrow G \text{ (multiplication),} \\ 1 & : & & \rightarrow G \text{ (unit element),} \\ (\bullet)^{-1} & : & G & \rightarrow G \text{ (inverse),} \end{array}$$

- no relation symbol.

(ii) Language of the theory of equivalence relations

- one sort E (= underlying object),
- no function symbol,
- one relation symbol

$$R \mapsto EE \text{ (= equivalence relation).}$$

Interpretations of signatures

Definition:

Let $\Sigma = \text{signature}$,

$\mathcal{E} = \text{topos (or category with finite products, including a terminal object } 1_{\mathcal{E}})$.

(i) A Σ -structure M in \mathcal{E} is a map

$\text{sort } A \mapsto MA = \text{object of } \mathcal{E}$,

$$\left(\begin{array}{c} \text{function symbol} \\ f : A_1 \cdots A_n \rightarrow B \end{array} \right) \mapsto \left(\begin{array}{c} \text{morphism} \\ MA_1 \times \cdots \times MA_n \xrightarrow{Mf} MB \end{array} \right)$$

and, if $n = 0$,

$$(c : \rightarrow B) \mapsto (1_{\mathcal{E}} \xrightarrow{Mc} MB),$$

$$\left(\begin{array}{c} \text{relation symbol} \\ R \rhd A_1 \cdots A_n \end{array} \right) \mapsto \left(\begin{array}{c} \text{subobject} \\ MR \hookrightarrow MA_1 \times \cdots \times MA_n \end{array} \right)$$

(ii) A morphism of Σ -structures in \mathcal{E}

$$u : M \longrightarrow N$$

is a map

$$\text{sort } A \longmapsto \left(\begin{array}{l} \text{morphism of } \mathcal{E} \\ u_A : MA \rightarrow NA \end{array} \right)$$

such that

- for any $f : A_1 \cdots A_n \rightarrow B$,

$$\begin{array}{ccc} MA_1 \times \cdots \times MA_n & \xrightarrow{Mf} & MB \\ \downarrow u_{A_1} \times \cdots \times u_{A_n} & & \downarrow u_B \\ NA_1 \times \cdots \times NA_n & \xrightarrow{Nf} & NB \end{array}$$

is commutative,

- for any $R \rightrightarrows A_1 \cdots A_n$, there is a factorization:

$$\begin{array}{ccc} MR \hookrightarrow MA_1 \times \cdots \times MA_n & & \\ \downarrow & & \downarrow u_{A_1} \times \cdots \times u_{A_n} \\ NR \hookrightarrow NA_1 \times \cdots \times NA_n & & \end{array}$$

Consequences:

Σ = signature.

- (i) If \mathcal{E} = topos
or category with finite products,
 $\Sigma\text{-str}(\mathcal{E})$ = category
of Σ -structures in \mathcal{E} .

- (ii) If $F : \mathcal{E}' \longrightarrow \mathcal{E}$

= functor which respects finite limits
(or, more generally, which respects finite products and monomorphisms),
there is an induced functor

$$F : \Sigma\text{-str}(\mathcal{E}') \longrightarrow \Sigma\text{-str}(\mathcal{E}).$$

- (iii) In particular, if

$$f : \mathcal{E}' \longrightarrow \mathcal{E}$$

is a morphism of toposes, it induces adjoint functors

$$f^* : \Sigma\text{-str}(\mathcal{E}) \longrightarrow \Sigma\text{-str}(\mathcal{E}'),$$

$$f_* : \Sigma\text{-str}(\mathcal{E}') \longrightarrow \Sigma\text{-str}(\mathcal{E}).$$

The notion of “geometric” (first-order) theory:

Definition:

(i) A “geometric” theory consists in

- a signature Σ ,
- a collection of “sequents”

$$\varphi \vdash_{\vec{x}} \psi$$

relying “geometric” formulas of Σ

$$\varphi, \psi$$

in the same family of variables

$$\vec{x} = (x_1^{A_1} \cdots x_n^{A_n}) \quad (\text{called a “context”})$$

associated with sorts of Σ

$$A_1 \cdots A_n.$$

(ii) A “geometric” formula is built from “atomic” formulas using symbols

\wedge = finite conjunction (plus the empty conjunction = \top = “true”),

\vee = arbitrary disjunctions (plus the empty disjunction = \perp = “false”),

\exists = existential quantifier in part of the variables.

- (iii) An “atomic” formula is deduced from
a relation formula $R(x_1^{A_1} \dots x_n^{A_n})$
(for a relation symbol $R \mapsto A_1 \dots A_n$ of Σ)

or an equality formula

$$(x_1^{A_1} \dots x_n^{A_n}) = (y_1^{A_1} \dots y_n^{A_n})$$

by substitutions of some variables by “terms”.

- (iv) A term is deduced from an expression $f_0 = f(x_1^{A_1} \dots x_n^{A_n})$
(for a function symbol $f : A_1 \dots A_n \rightarrow B$)

by an inductive process

$$f_0, f_1, \dots, f_k$$

where each f_i is deduced from

$$f_{i-1} = f_{i-1}(z_1^{C_1} \dots z_m^{C_m})$$

by replacing some variable $z_i^{C_i}$ by an expression

$$g(w_1^{D_1} \dots w_\ell^{D_\ell})$$

for a function symbol $g : D_1 \dots D_\ell \rightarrow C_i$.

Remark:

A (infinitary) first-order theory allows axioms of the form

$$\varphi \vdash_{\bar{x}} \psi$$

on general “first-order formulas”

$$\varphi, \psi$$

built from atomic formulas with the symbols

\bigwedge = arbitrary conjunctions (plus \top),

\bigvee = arbitrary disjunctions (plus \perp),

\exists = existential quantifier,

\forall = universal quantifier,

\Rightarrow = implication,

\neg = negation.

Interpretation of terms:

Definition:

Let $\Sigma = \text{signature}$,

$f(x_1^{A_1} \cdots x_n^{A_n}) = \text{term with values in a sort } B$,

$\mathcal{E} = \text{topos (or a category with finite products)}$

$M = \Sigma\text{-structure}$.

Then $f(x_1^{A_1} \cdots x_n^{A_n})$ is interpreted in M as a morphism

$$Mf(x_1^{A_1} \cdots x_n^{A_n}) : MA_1 \times \cdots \times MA_n \longrightarrow MB$$

is an inductive way:

- If $f = f_0(x_1^{A_1} \cdots x_n^{A_n})$ is associated with a function symbol

$$Mf = Mf_0. \quad f_0 : A_1 \cdots A_n \rightarrow B,$$

- If $f = f_k(x_1^{A_1} \cdots x_n^{A_n})$ is deduced from

$$f_{k-1}(z_1^{C_1} \cdots z_m^{C_m})$$

by a substitution

$$z_i^{C_i} = g(w_1^{D_1} \cdots w_\ell^{D_\ell})$$

(for a function symbol $g : D_1 \cdots D_\ell \rightarrow C_i$),

Mf_k is the composite of Mf_{k-1} with the product of Mg and id_{MC_j} , $j \neq i$.

Interpretation of atomic formulas

Definition:

Let $\Sigma = \text{signature}$,

$\mathcal{E} = \text{topos}$ (or a category with finite limits and smallest subobjects),

$M = \Sigma\text{-structure}$,

$\varphi = \varphi(x_1^{A_1} \cdots x_n^{A_n}) = \text{atomic formula}$.

(i) If $\varphi = \top$,

$M\varphi = MA_1 \times \cdots \times MA_n$ is the biggest subobject.

(ii) If $\varphi = \perp$,

$M\varphi = \emptyset_{MA_1 \times \cdots \times MA_n}$ is the smallest (empty) subobject of $MA_1 \times \cdots \times MA_n$.

(iii) If $\varphi = R(x_1^{A_1} \cdots x_n^{A_n})$ for a relation symbol $R \mapsto A_1 \cdots A_n$, $M\varphi = MR$.

(iv) If φ is an equality relation $(x_1^{A_1} \cdots x_n^{A_n}) = (y_1^{A_1} \cdots y_n^{A_n})$,

$M\varphi$ is the diagonal subobject

$$MA_1 \times \cdots \times MA_n \hookrightarrow MA_1 \times \cdots \times MA_n \times MA_1 \times \cdots \times MA_n.$$

(v) If $\varphi = \varphi_k$ is deduced from φ_{k-1} by a substitution

$$z_i^{C_i} = g(w_1^{D_1} \cdots w_\ell^{D_\ell})$$

the subobject $M\varphi_k$ is deduced from the subobject $M\varphi_{k-1}$

by base change along $Mg : MD_1 \times \cdots \times MD_\ell \rightarrow MC_j$.

Interpretation of geometric formulas

Definition:

Let $\Sigma = \text{signature}$,

$\mathcal{E} = \text{topos (or a category with enough structures)}$,

$M = \Sigma\text{-structure}$,

$\varphi = \text{geometric formula}$.

(i) If $\varphi = \varphi(\vec{x}) = \varphi_1(\vec{x}) \wedge \cdots \wedge \varphi_k(\vec{x})$

and $\vec{x} = (x_1^{A_1} \cdots x_n^{A_n})$, the subobject

$$M\varphi \hookrightarrow MA_1 \times \cdots \times MA_n$$

is the intersection (= fiber product) of the subobjects

$$M\varphi_i \hookrightarrow MA_1 \times \cdots \times MA_n.$$

(ii) If $\varphi = \varphi(\vec{x}) = \bigvee_{i \in I} \varphi_i(\vec{x})$, the subobject

is the union

$$M\varphi \hookrightarrow MA_1 \times \cdots \times MA_n$$

$$\lim_{\rightarrow} \left(\prod_{i,j} M\varphi_i \times_{MA_1 \times \cdots \times MA_n} M\varphi_j \rightrightarrows \prod_i M\varphi_i \right)$$

of the subobjects

$$M\varphi_i \hookrightarrow MA_1 \times \cdots \times MA_n.$$

(iii) If $\varphi = \varphi(\vec{x}) = (\exists \vec{y}) \psi(\vec{x}, \vec{y})$
with $\vec{x} = (x_1^{A_1} \cdots x_n^{A_n})$, $\vec{y} = (y_1^{B_1} \cdots y_m^{B_m})$, the subobject

$$M\varphi \hookrightarrow MA_1 \times \cdots \times MA_n$$

is the image

$$\varinjlim (M\psi \times_{MA_1 \times \cdots \times MA_n} M\psi \rightrightarrows M\psi)$$

of the composed morphism

$$M\psi \hookrightarrow MA_1 \times \cdots \times MA_n \times MB_1 \times \cdots \times MB_m \rightarrow MA_1 \times \cdots \times MA_n.$$

Remark:

Interpretations of geometric formulas

only use finite limits and arbitrary colimits.

They are preserved by arbitrary base change.

They are also preserved by pull-back functors

$$f^* : \mathcal{E} \longrightarrow \mathcal{E}'$$

associated with toposes morphisms

$$f : \mathcal{E}' \longrightarrow \mathcal{E}.$$

Remarks:

- (i) One can prove that arbitrary first-order formulas (which also use symbols $\wedge, \forall, \Rightarrow, \neg$) are interpretable in any topos \mathcal{E} . Moreover, their interpretations are always respected by base change.
- (ii) But, for a toposes morphism

$$f : \mathcal{E}' \longrightarrow \mathcal{E},$$

the functor

$$f^* : \Sigma\text{-str}(\mathcal{E}) \longrightarrow \Sigma\text{-str}(\mathcal{E}')$$

doesn't respect in general the interpretations of these symbols.

Models of geometric first-order theory:

Definition:

Let $\Sigma =$ signature,

$\mathbb{T} =$ (geometric) first-order theory of signature Σ ,

$\mathcal{E} =$ topos (or a category with enough structures).

Then:

(i) A Σ -structure in \mathcal{E}

M

is a “ \mathbb{T} -model” if, for any axiom of \mathbb{T}

$\varphi \vdash_{\vec{x}} \psi$ of context $\vec{x} = (x_1^{A_1} \cdots x_n^{A_n})$,

the subobjects

$$M\varphi \hookrightarrow MA_1 \times \cdots \times MA_n,$$

$$M\psi \hookrightarrow MA_1 \times \cdots \times MA_n$$

verify the inclusion relation

$$M\varphi \leq M\psi.$$

(ii) A morphism of \mathbb{T} -models in \mathcal{E}

$$M \longrightarrow N$$

is a morphism of the underlying Σ -structures.

Consequences:

(i) For any \mathcal{E} , the category of \mathbb{T} -models in \mathcal{E}

$$\mathbb{T}\text{-mod}(\mathcal{E})$$

is defined as a full subcategory of

$$\Sigma\text{-str}(\mathcal{E}).$$

(ii) If \mathbb{T} is geometric, any toposes morphism

$$(f : \mathcal{E}' \rightarrow \mathcal{E}) = (f^*, f_*)$$

induces a functor

$$f^* : \mathbb{T}\text{-mod}(\mathcal{E}) \longrightarrow \mathbb{T}\text{-mod}(\mathcal{E}').$$

(iii) If \mathbb{T} is geometric and $M = \mathbb{T}$ -model in a topos \mathcal{E} , there is an induced functor

$$\begin{array}{ccc} (f : \mathcal{E}' \rightarrow \mathcal{E}) & \longmapsto & f^* M, \\ \left\{ \begin{array}{c} \text{category of} \\ \text{toposes morphisms} \\ \mathcal{E}' \rightarrow \mathcal{E} \end{array} \right\} & \longrightarrow & \mathbb{T}\text{-mod}(\mathcal{E}') \\ & & \parallel \\ & & \text{category of} \\ & & \mathbb{T}\text{-models in } \mathcal{E}'. \end{array}$$

(iv) If (\mathcal{E}_T, U_T) is such that

$$(f: \mathcal{E} \rightarrow \mathcal{E}_T) \longmapsto f^* U_T$$

is an equivalence of categories
for any topos \mathcal{E} ,
then

$$(\mathcal{E}_T, U_T)$$

is uniquely determined
up to equivalence.

Lecture II:

Syntactic categories and classifying toposes

What we want to do:

Start from \mathbb{T} = “geometric” first-order theory.

Construct

- a category $\mathcal{C}_{\mathbb{T}}$ with enough properties for $\mathbb{T}\text{-mod}(\mathcal{C}_{\mathbb{T}})$ to be defined,
- a model $M_{\mathbb{T}}$ of \mathbb{T} in $\mathcal{C}_{\mathbb{T}}$,
- a topology $J_{\mathbb{T}}$ on $\mathcal{C}_{\mathbb{T}}$

such that, denoting

- $\mathcal{E}_{\mathbb{T}}$ = quotient topos of $\widehat{\mathcal{C}}_{\mathbb{T}}$ by $J_{\mathbb{T}}$,
- $U_{\mathbb{T}}$ = \mathbb{T} -model in $\mathcal{E}_{\mathbb{T}}$ image of $M_{\mathbb{T}}$ by
$$\ell : \mathcal{C}_{\mathbb{T}} \xrightarrow{y} \widehat{\mathcal{C}}_{\mathbb{T}} \xrightarrow{j^*} \mathcal{E}_{\mathbb{T}},$$

the functor

$$\begin{array}{ccc} (f : \mathcal{E} \rightarrow \mathcal{E}_{\mathbb{T}}) & \longmapsto & f^* U_{\mathbb{T}}, \\ \left\{ \begin{array}{c} \text{toposes morphisms} \\ \mathcal{E} \rightarrow \mathcal{E}_{\mathbb{T}} \end{array} \right\} & \longrightarrow & \mathbb{T}\text{-mod}(\mathcal{E}) \end{array}$$

is an equivalence for any topos \mathcal{E} .

Geometric categories:

We want $\mathcal{C}_{\mathbb{T}}$ to be “geometric” in the following sense:

Definition:

(i) A (locally small) category \mathcal{C} is geometric if

- it has finite limits,
- in particular, any morphism

$$p: X \longrightarrow Y$$

defines a functor on categories of subobjects

$$p^* : \begin{array}{ccc} \Omega(Y) & \longrightarrow & \Omega(X), \\ (\mathcal{S} \hookrightarrow Y) & \longmapsto & (\mathcal{S} \times_Y X \hookrightarrow X), \end{array}$$

- this functor has a left adjoint

$$\exists_p : \Omega(X) \longrightarrow \Omega(Y)$$

and it commutes with base change:

$$\begin{array}{ccc} X' \xrightarrow{x} X & & \Omega(X) \xrightarrow{x^*} \Omega(X') \\ p' \downarrow & \square & \downarrow p \\ Y' \xrightarrow{y} Y & & \Omega(Y) \xrightarrow{y^*} \Omega(Y') \end{array} \quad \Longrightarrow \quad \begin{array}{ccc} \exists_p \downarrow & & \downarrow \exists_{p'} \\ \Omega(Y) \xrightarrow{y^*} \Omega(Y') & & \end{array}$$

- any family of subobjects

$$S_i \hookrightarrow Y, \quad i \in I,$$

has a union

$$\bigvee_{i \in I} S_i \hookrightarrow Y$$

such that, for any $S \hookrightarrow Y$,

$$\bigvee_{i \in I} S_i \leq S \quad \text{iff} \quad S_i \leq S, \quad \forall i,$$

and it commutes with base change:

$$(X \xrightarrow{p} Y) \implies p^* \bigvee_{i \in I} S_i = \bigvee_{i \in I} p^* S_i$$

(ii) A functor between geometric categories

$$F: \mathcal{C} \longrightarrow \mathcal{D}$$

is “geometric” if

- it respects finite limits,
- it respects functors \exists_p in the sense that

$$\left(\begin{array}{ccc} S & \hookrightarrow & X \\ & & \downarrow p \\ & & Y \end{array} \right) \implies F(\exists_p S) = \exists_{F(p)} F(S),$$

- it respects arbitrary unions $\bigvee_{i \in I}$.

Remarks:

(i) For $\Sigma =$ signature,

$\mathcal{C} =$ geometric category,

$M = \Sigma$ -structure in \mathcal{C} ,

any geometric formula

$$\varphi = \varphi(x_1^{A_1} \cdots x_n^{A_n}) = \varphi(\vec{x})$$

is interpretable as a subobject

$$M\varphi(\vec{x}) \hookrightarrow MA_1 \times \cdots \times MA_n.$$

(ii) If $\mathbb{T} =$ geometric theory,

M is a \mathbb{T} -model in \mathcal{C}

if and only if, for any axiom of \mathbb{T}

$$\varphi \vdash_{\vec{x}} \psi,$$

M verifies the inclusion relation

$$M\varphi(\vec{x}) \leq M\psi(\vec{x}).$$

There is an induced full subcategory

$$\mathbb{T}\text{-mod}(\mathcal{C}) \hookrightarrow \Sigma\text{-str}(\mathcal{C}).$$

(iii) Any geometric functor

$$F : \mathcal{C} \longrightarrow \mathcal{D}$$

induces a functor

$$F : \mathbb{T}\text{-mod}(\mathcal{C}) \longrightarrow \mathbb{T}\text{-mod}(\mathcal{D}).$$

Characterization of the syntactic category:

Theorem:

Let $\mathbb{T} = \text{geometric theory}$.

(i) *There exists a (essentially small) geometric category*

endowed with a \mathbb{T} -model

$$\mathcal{C}_{\mathbb{T}}$$
$$M_{\mathbb{T}},$$

such that, for any geometric category \mathcal{C} , the functor

$$\left\{ \begin{array}{l} \text{geometric functors} \\ F : \mathcal{C}_{\mathbb{T}} \rightarrow \mathcal{C} \\ F \end{array} \right\} \begin{array}{l} \longrightarrow \mathbb{T}\text{-mod}(\mathcal{C}), \\ \longmapsto F(M_{\mathbb{T}}) \end{array}$$

is an equivalence.

(ii) *The couple*

$$(\mathcal{C}_{\mathbb{T}}, M_{\mathbb{T}})$$

is well defined up to equivalence.

Construction of the syntactic category:

Definition:

Let $\mathbb{T} =$ geometric theory of signature Σ .

(i) Objects of $\mathcal{C}_{\mathbb{T}}$ are geometric formulas of Σ

$$\varphi(\vec{x}) = \varphi(x_1^{A_1} \cdots x_n^{A_n}),$$

considered up to substitution of variables

$$(x_1^{A_1} \cdots x_n^{A_n}) \leftrightarrow (y_1^{A_1} \cdots y_n^{A_n}).$$

(ii) Morphisms of $\mathcal{C}_{\mathbb{T}}$

$$\varphi(\vec{x}) \longrightarrow \psi(\vec{y})$$

(if $\vec{x} = (x_1^{A_1} \cdots x_n^{A_n})$ and $\vec{y} = (y_1^{B_1} \cdots y_m^{B_m})$ are disjoint) are geometric formulas

$$\theta(\vec{x}, \vec{y})$$

that are “provably functional” in the sense that the sequents

$$\left\{ \begin{array}{lll} \theta & \vdash_{\vec{x}, \vec{y}} & \varphi \wedge \psi, \\ \varphi & \vdash_{\vec{x}} & (\exists \vec{y}) \theta(\vec{x}, \vec{y}), \\ \theta(\vec{x}, \vec{y}) \wedge \theta(\vec{x}, \vec{y}') & \vdash_{\vec{x}, \vec{y}, \vec{y}'} & \vec{y} = \vec{y}' \end{array} \right.$$

are provable in \mathbb{T} ,

up to \mathbb{T} -provable equivalence of these formulas.

(iii) *The composite of two morphisms*

$$\begin{aligned}\theta(\vec{x}, \vec{y}) &: \varphi(\vec{x}) \longrightarrow \psi(\vec{y}), \\ \theta'(\vec{y}, \vec{z}) &: \psi(\vec{y}) \longrightarrow \chi(\vec{z})\end{aligned}$$

is defined as the provably functional geometric formula

$$(\exists \vec{y})(\theta(\vec{x}, \vec{y}) \wedge \theta'(\vec{y}, \vec{z})) : \varphi(\vec{x}) \longrightarrow \chi(\vec{z}).$$

Remarks:

- (i) Objects of $\mathcal{C}_{\mathbb{T}}$ only depend on the signature Σ of \mathbb{T} .
- (ii) Morphisms of $\mathcal{C}_{\mathbb{T}}$ depend on the notion of
“ \mathbb{T} -provability”.

Definition:

A sequent between geometric formulas of a signature Σ

$$\varphi \vdash_{\bar{x}} \psi$$

is called “provable” in a theory \mathbb{T} of signature Σ if it can be deduced from the axioms of \mathbb{T}

$$\varphi_i \vdash_{\bar{x}_i} \psi_i$$

by a combination of the following rules:

(1) Cut rule:

If $\varphi_1 \vdash_{\bar{x}} \varphi_2$ and $\varphi_2 \vdash_{\bar{x}} \varphi_3$, then $\varphi_1 \vdash_{\bar{x}} \varphi_3$.

(2) Identity rule:

$\mathbb{T} \vdash_{\bar{x}} (f = f)$ for any term f .

(3) Equality rules:

- If $\mathbb{T} \vdash_{\bar{x}} f_1 = f_2$, then $\mathbb{T} \vdash_{\bar{x}} f_2 = f_1$.
- If $\mathbb{T} \vdash_{\bar{x}} f_1 = f_2$ and $\mathbb{T} \vdash_{\bar{x}} f_2 = f_3$, then $\mathbb{T} \vdash_{\bar{x}} f_1 = f_3$.

(4) Substitution rules:

- *If f_1, f_2, f are terms and f'_1, f'_2 are deduced from f_1, f_2 by substitution of f to some variable, [resp. of f_1, f_2 to some variable of f], then $\top \vdash f_1 = f_2$ implies $\top \vdash f'_1 = f'_2$.*
- *If $f_1, f_2 =$ terms, $R =$ relation, R_1, R_2 deduced from R by substitution of f_1, f_2 to some variable, then $\top \vdash f_1 = f_2$ implies $R_1 \vdash R_2$ and $R_2 \vdash R_1$.*

(5) Rules of finitary conjunctions:

- $\varphi \vdash \top$ holds for any φ in a context \vec{x} .
- For any $\varphi, \varphi_1, \dots, \varphi_k$ in a context \vec{x} ,

$$\varphi \vdash \varphi_1 \wedge \dots \wedge \varphi_k$$

is equivalent to

$$\varphi \vdash \varphi_i \quad \text{for any } i, \quad 1 \leq i \leq k.$$

(6) Rules of infinitary disjunctions:

- $\perp \vdash \varphi$ holds for any φ in a context \vec{x} .
- For any φ and $\varphi_i, i \in I$, in a context \vec{x} ,

$$\bigvee_{i \in I} \varphi_i \vdash \varphi$$

is equivalent to

$$\varphi_i \vdash \varphi \quad \text{for any } i \in I.$$

(7) Distributivity rules:

- For any φ and $\varphi_i, i \in I$, in a context \vec{x} ,

$$\varphi \wedge \left(\bigvee_{i \in I} \varphi_i \right) \vdash_{\vec{x}} \bigvee_{i \in I} (\varphi \wedge \varphi_i)$$

always holds (as well as the converse sequent).

(8) Rules of existential quantification:

- For any φ in a context (\vec{x}, \vec{y})
and any ψ in the context \vec{x} ,

$$\varphi \vdash_{\vec{x}, \vec{y}} \psi$$

is equivalent to

$$(\exists \vec{y}) \varphi \vdash_{\vec{x}} \psi .$$

(9) Frobenius rule:

- For any φ in a context (\vec{x}, \vec{y})
and any ψ in the context \vec{x}

$$(\exists \vec{y}) \varphi \wedge \psi \vdash_{\vec{x}} (\exists \vec{y})(\varphi \wedge \psi)$$

always holds (as well as the converse sequent).

Quotient theories:

Definition:

$\Sigma =$ signature,

$\mathbb{T}, \mathbb{T}' =$ geometric theories in the signature Σ .

- (i) \mathbb{T}' is called a “quotient” of \mathbb{T}
if any geometric sequent of Σ

$$\varphi \vdash_{\bar{x}} \psi$$

which is provable in \mathbb{T}
is also provable in \mathbb{T}' .

- (ii) \mathbb{T} and \mathbb{T}' are called “equivalent” if
 \mathbb{T} -provable $\Leftrightarrow \mathbb{T}'$ -provable.

Remarks:

- (i) If \mathbb{T}' is a quotient of \mathbb{T} ,
 $\mathcal{C}_{\mathbb{T}}$ as a natural functor to $\mathcal{C}_{\mathbb{T}'}$ with the same objects.
- (ii) If \mathbb{T}, \mathbb{T}' are equivalent,

$$\mathcal{C}_{\mathbb{T}} = \mathcal{C}_{\mathbb{T}'} .$$

Subobjects in syntactic categories:

Proposition:

Let $\mathbb{T} =$ *geometric theory of signature* Σ ,

$\mathcal{C}_{\mathbb{T}} =$ *syntactic category of* \mathbb{T} ,

$\varphi(\vec{x}) = \varphi(x_1^{A_1} \cdots x_n^{A_n}) =$ *object of* $\mathcal{C}_{\mathbb{T}}$
= *geometric formula of* Σ .

Then:

(i) *Subobjects of* $\varphi(\vec{x})$ *in* $\mathcal{C}_{\mathbb{T}}$ *correspond to geometric formulas*

such that the sequent

$$\varphi_1(\vec{x})$$
$$\varphi_1 \vdash_{\vec{x}} \varphi$$

is \mathbb{T} -*provable.*

(ii) *Two subobjects of* $\varphi(\vec{x})$

$\varphi_1(\vec{x})$ *and* $\varphi_2(\vec{x})$

verify the inclusion relation

$$\varphi_1(\vec{x}) \leq \varphi_2(\vec{x})$$

if and only if the sequent

$$\varphi_1 \vdash_{\vec{x}} \varphi_2$$

is \mathbb{T} -*provable.*

The universal model $M_{\mathbb{T}}$ in $\mathcal{C}_{\mathbb{T}}$:

Definition:

Let $\mathbb{T} =$ geometric theory of signature Σ ,

$\mathcal{C}_{\mathbb{T}} =$ syntactic category of \mathbb{T} .

Then the Σ -structure $M_{\mathbb{T}}$ in $\mathcal{C}_{\mathbb{T}}$ is defined in the following way:

(i) For any sort A , $M_{\mathbb{T}}A$ is the object of $\mathcal{C}_{\mathbb{T}}$

$$\top(x^A).$$

(ii) For any function symbol of Σ

$$f : A_1 \cdots A_n \longrightarrow B,$$

$M_{\mathbb{T}}f$ is the morphism of $\mathcal{C}_{\mathbb{T}}$

$$\top(x_1^{A_1} \cdots x_n^{A_n}) \xrightarrow{x^B = f(x_1^{A_1} \cdots x_n^{A_n})} \top(x^B).$$

(iii) For any relation symbol of Σ

$$R \triangleright A_1 \cdots A_n,$$

$M_{\mathbb{T}}R$ is the subobject

$$R(x_1^{A_1} \cdots x_n^{A_n}) \hookrightarrow \top(x_1^{A_1} \cdots x_n^{A_n}).$$

Lemma:

For any geometric formula in the signature Σ

$$\varphi(\vec{x}) = \varphi(x_1^{A_1} \cdots x_n^{A_n}),$$

its interpretation in the Σ -structure $M_{\mathbb{T}}$ of $\mathcal{C}_{\mathbb{T}}$

$$M_{\mathbb{T}} \varphi(\vec{x})$$

is the subobject

$$\begin{aligned} \varphi(\vec{x}) \hookrightarrow \mathbb{T}(\vec{x}) &= \mathbb{T}(x_1^{A_1}) \times \cdots \times \mathbb{T}(x_n^{A_n}) \\ &= M_{\mathbb{T}} A_1 \times \cdots \times M_{\mathbb{T}} A_n. \end{aligned}$$

Corollary:

A geometric sequent of the signature Σ

$$\varphi \vdash_{\vec{x}} \psi$$

is \mathbb{T} -provable if and only if, as subobjects of $\mathbb{T}(\vec{x})$,

$$\varphi(\vec{x}) \leq \psi(\vec{x}),$$

i.e. if and only if it is verified by $M_{\mathbb{T}}$.

In particular, $M_{\mathbb{T}}$ is a model of \mathbb{T} in $\mathcal{C}_{\mathbb{T}}$.

Theorem:

Let $\mathbb{T} =$ geometric category,

$\mathcal{C}_{\mathbb{T}} =$ syntactic category of \mathbb{T} .

Then, for $\mathcal{C} =$ geometric category, the functor

$$\left\{ \begin{array}{l} \text{geometric functors} \\ \mathcal{C}_{\mathbb{T}} \rightarrow \mathcal{C} \\ (F : \mathcal{C}_{\mathbb{T}} \rightarrow \mathcal{C}) \end{array} \right\} \begin{array}{l} \longrightarrow \mathbb{T}\text{-mod}(\mathcal{C}), \\ \longmapsto F(M_{\mathbb{T}}) \end{array}$$

is an equivalence, and a reverse equivalence is

$$\begin{array}{l} \mathbb{T}\text{-mod}(\mathcal{C}) \longrightarrow \{\text{geometric functors } \mathcal{C}_{\mathbb{T}} \rightarrow \mathcal{C}\}, \\ M \longmapsto (F_M : \mathcal{C}_{\mathbb{T}} \rightarrow \mathcal{C}) \end{array}$$

defined as:

- for any object $\varphi(\vec{x})$ of $\mathcal{C}_{\mathbb{T}}$,
 $F_M(\varphi(\vec{x})) = M\varphi(\vec{x})$,
 - for any morphism of $\mathcal{C}_{\mathbb{T}}$
 $\theta : \varphi(\vec{x}) \xrightarrow{\theta(\vec{x}, \vec{y})} \psi(\vec{y})$,
- $F_M(\theta)$ is the morphism $M\varphi(\vec{x}) \rightarrow M\psi(\vec{y})$ whose graph is $M\theta(\vec{x}, \vec{y})$.

The syntactic topology $J_{\mathbb{T}}$ on $\mathcal{C}_{\mathbb{T}}$:

Definition:

A sieve on an object

$$\psi(\vec{y}) \quad \text{of} \quad \mathcal{C}_{\mathbb{T}}$$

is called “ $J_{\mathbb{T}}$ -covering” if it contains a family of morphisms

$$\theta_i(\vec{x}_i, \vec{y}) : \varphi_i(\vec{x}_i) \longrightarrow \psi(\vec{y}), \quad i \in I,$$

whose union of images is the full object, equivalently, such that the sequent

$$\psi(\vec{y}) \vdash_{\vec{y}} \bigvee_{i \in I} (\exists \vec{x}_i) \theta_i(\vec{x}_i, \vec{y})$$

is \mathbb{T} -provable.

Remarks:

- (i) $J_{\mathbb{T}}$ is a topology because the condition for the union of images to be the full object is preserved by base change in $\mathcal{C}_{\mathbb{T}}$.
- (ii) $J_{\mathbb{T}}$ is defined by the categorical structure of $\mathcal{C}_{\mathbb{T}}$.

Diaconescu's equivalence:

Theorem:

Let $\mathcal{C} =$ (essentially) small category with finite limits,

$J =$ topology on \mathcal{C} ,

$(\ell : \mathcal{C} \xrightarrow{y} \widehat{\mathcal{C}} \xrightarrow{j^*} \widehat{\mathcal{C}}_J) =$ canonical functor,

$\mathcal{E} =$ topos.

Then:

(i) For any toposes morphism

$$f = (f^*, f_*) : \mathcal{E} \longrightarrow \widehat{\mathcal{C}}_J,$$

$(f^* \circ \ell : \mathcal{C} \rightarrow \mathcal{E})$ is

- “flat” in the sense that it respects finite limits,
- “ J -continuous” in the sense that it transforms J -covering families of \mathcal{C} into globally epimorphic families of \mathcal{E} .

(ii) The functor

$$\left\{ \begin{array}{c} \text{category of} \\ \text{toposes morphisms} \\ \mathcal{E} \rightarrow \widehat{\mathcal{C}}_J \end{array} \right\} \longrightarrow \left\{ \begin{array}{c} \text{category of} \\ \text{flat } J\text{-continuous functors} \\ \mathcal{C} \rightarrow \mathcal{E} \end{array} \right\}$$

is an equivalence

Lemma:

Let

\mathbb{T} = *geometric theory*,

$\mathcal{C}_{\mathbb{T}}$ = *associated syntactic category*,

\mathcal{E} = *topos*.

Then a functor

$$F : \mathcal{C}_{\mathbb{T}} \longrightarrow \mathcal{E}$$

is “geometric” if and only if it is

- *flat*,
 - $J_{\mathbb{T}}$ -*continuous*,
- i.e. transforms families of morphisms whose union of images is the full object into globally epimorphic families of \mathcal{E} .*

Corollary:

Let

\mathbb{T} = *geometric theory*,

$\mathcal{E}_{\mathbb{T}}$ = *quotient topos of $\widehat{\mathcal{C}}_{\mathbb{T}}$ by $J_{\mathbb{T}}$* ,

\mathcal{E} = *topos*.

Then the composite functor

$$\left\{ \begin{array}{c} \text{category of} \\ \text{toposes morphisms} \\ \mathcal{E} \rightarrow \mathcal{E}_{\mathbb{T}} \end{array} \right\} \longrightarrow \left\{ \begin{array}{c} \text{flat } J\text{-continuous} \\ \text{functors} \\ \mathcal{C}_{\mathbb{T}} \rightarrow \mathcal{E} \end{array} \right\}$$

||

$$\left\{ \begin{array}{c} \text{geometric functors} \\ \mathcal{C}_{\mathbb{T}} \rightarrow \mathcal{E} \end{array} \right\} \longrightarrow \mathbb{T}\text{-mod}(\mathcal{E})$$

is an equivalence of categories.

Definition:

Let

\mathcal{C} = (essentially) small category,

J = topology on \mathcal{C} ,

$(\ell : \mathcal{C} \xrightarrow{y} \widehat{\mathcal{C}} \xrightarrow{j^*} \widehat{\mathcal{C}}_J) = \text{canonical functor.}$

Then J is called “subcanonical”

if and only if it verifies the following equivalent conditions:

(1) The functor

$$\ell : \mathcal{C} \longrightarrow \widehat{\mathcal{C}}_J$$

is fully faithful.

(2) The functor

$$y : \mathcal{C} \longrightarrow \widehat{\mathcal{C}}$$

factorises through $j_* : \widehat{\mathcal{C}}_J \hookrightarrow \widehat{\mathcal{C}}$.

Lemma: *Let* $\mathbb{T} =$ *geometric category,* $\mathcal{C}_{\mathbb{T}} =$ *syntactic category of \mathbb{T} ,* $\mathcal{E}_{\mathbb{T}} = \widehat{(\mathcal{C}_{\mathbb{T}})}_{J_{\mathbb{T}}} =$ *classifying topos of \mathbb{T} ,* $(\ell : \mathcal{C}_{\mathbb{T}} \rightarrow \mathcal{E}_{\mathbb{T}}) =$ *canonical functor.**Then ℓ is fully faithful i.e. $J_{\mathbb{T}}$ is subcanonical.***Corollary:***A geometric sequent of \mathbb{T}*

$$\varphi \vdash_{\bar{x}} \psi$$

*is \mathbb{T} -provable if and only if**it is verified by the universal model of \mathbb{T}*

$$U_{\mathbb{T}} = \ell(M_{\mathbb{T}}) \quad \text{in} \quad \mathcal{E}_{\mathbb{T}}.$$

Consequence:

$$\left(\begin{array}{c} \text{Gödel's} \\ \text{completeness} \\ \text{theorem} \end{array} \right) = \left(\begin{array}{c} \text{Deligne's theorem} \\ \text{on "coherent" toposes} \\ \text{having enough points} \end{array} \right).$$

Proposition:

For any topos \mathcal{E} ,
there are (infinitely many) geometric theories \mathbb{T} such that

$$\mathcal{E}_{\mathbb{T}} \cong \mathcal{E}.$$

Hint:

Write $\mathcal{E} \cong \widehat{\mathcal{C}}_J$
for \mathcal{C} = small category with finite limits,
 J = topology on \mathcal{C} .

Then define \mathbb{T} as the “geometric theory”
of “flat J -continuous” functors on \mathcal{C} .

Definition:

Geometric theories

\mathbb{T}_1 and \mathbb{T}_2

are called “Morita equivalent” or “semantically equivalent” if

$$\mathcal{E}_{\mathbb{T}_1} \cong \mathcal{E}_{\mathbb{T}_2} .$$

Remarks:

(i) The condition

$$\mathcal{E}_{\mathbb{T}_1} \cong \mathcal{E}_{\mathbb{T}_2}$$

is verified if \mathbb{T}_1 and \mathbb{T}_2 are “syntactically equivalent” in the sense that their syntactic categories are equivalent

$$\mathcal{C}_{\mathbb{T}_1} \cong \mathcal{C}_{\mathbb{T}_2} .$$

(ii) The converse is not true.

Lecture III:

Provability and Grothendieck topologies, presheaf-type theories

The notion of subtopos:

Definition:

- (i) A morphism of toposes

$$j = (j^*, j_*) : \mathcal{E}' \longrightarrow \mathcal{E}$$

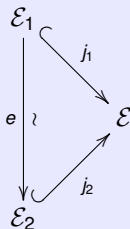
is called an “embedding”

if j_* is fully faithful or, equivalently,

$j^* \circ j_* \rightarrow \text{id}_{\mathcal{E}'}$ is an isomorphism.

- (ii) A subtopos of a topos \mathcal{E}

is an equivalence class of embeddings
for the relation defined by diagrams



with $j_2 \circ e \cong j_1$.

Subtoposes and topologies:

If $\mathcal{E} = \widehat{\mathcal{C}}_J$ = topos associated to $\begin{cases} \mathcal{C} = \text{category,} \\ J = \text{topology on } \mathcal{C}, \end{cases}$

any topology $J' \supseteq J$ on \mathcal{C} defines a subtopos

$$\widehat{\mathcal{C}}_{J'} \hookrightarrow \widehat{\mathcal{C}}_J \hookrightarrow \widehat{\mathcal{C}}.$$

Conversely:

Proposition:

If $\mathcal{E} = \widehat{\mathcal{C}}_J$, the map

$$\begin{array}{ccc} (J' \supseteq J) & \longmapsto & (\widehat{\mathcal{C}}_{J'} \hookrightarrow \widehat{\mathcal{C}}_J = \mathcal{E}) \\ \left\{ \begin{array}{l} \text{topologies} \\ J' \supseteq J \text{ on } \mathcal{C} \end{array} \right\} & \longmapsto & \left\{ \begin{array}{l} \text{subtoposes} \\ \mathcal{E}' \hookrightarrow \mathcal{E} \end{array} \right\} \end{array}$$

is one-to-one.

Remark:

This implies that, for any topos \mathcal{E} , subtoposes of \mathcal{E} make up a set ordered by inclusion.

Subtoposes and quotient theories:

Theorem (Caramello):

Let $\mathbb{T} =$ geometric theory of signature Σ .

- (i) For any $\mathbb{T}' =$ quotient theory of \mathbb{T}
(= geometric theory of same signature Σ with more provable sequents),
 $\mathcal{E}_{\mathbb{T}'}$ is a subtopos of $\mathcal{E}_{\mathbb{T}}$.
- (ii) The map

$$\left\{ \begin{array}{l} \text{quotient theories } \mathbb{T}' \text{ of } \mathbb{T}, \\ \text{considered up to equivalence} \end{array} \right\} \longrightarrow \left\{ \begin{array}{l} \text{subtoposes} \\ \mathcal{E}' \hookrightarrow \mathcal{E}_{\mathbb{T}} \end{array} \right\},$$
$$\mathbb{T}' \longmapsto (\mathcal{E}_{\mathbb{T}'} \hookrightarrow \mathcal{E}_{\mathbb{T}})$$

is one-to-one.

Sketch of the proof: One has to prove a correspondence:

$$\left\{ \begin{array}{l} \text{quotients} \\ \mathbb{T}' \text{ of } \mathbb{T} \end{array} \right\} \longleftrightarrow \left\{ \begin{array}{l} \text{topologies} \\ J \supseteq J_{\mathbb{T}} \\ \text{on } \mathcal{C}_{\mathbb{T}} \end{array} \right\}$$

• From a quotient \mathbb{T}' of \mathbb{T} to a topology J on $\mathcal{C}_{\mathbb{T}}$:

For any axiom of \mathbb{T}' ,

$$\varphi \vdash_{\vec{x}} \psi$$

consider the associated monomorphism of $\mathcal{C}_{\mathbb{T}}$

$$\varphi \wedge \psi(\vec{x}) \hookrightarrow \varphi(\vec{x})$$

and decide it is J -covering.

Define J as the smallest topology on $\mathcal{C}_{\mathbb{T}}$ containing $J_{\mathbb{T}}$ and these coverings.

• From a topology $J \supseteq J_{\mathbb{T}}$ on $\mathcal{C}_{\mathbb{T}}$ to a quotient \mathbb{T}' of \mathbb{T} :

For any J -covering family of morphisms of $\mathcal{C}_{\mathbb{T}}$

$$\theta_i(\vec{x}_i, \vec{x}) : \varphi_i(\vec{x}_i) \longrightarrow \varphi(\vec{x}), \quad i \in I,$$

decide that

$$\varphi(\vec{x}) \vdash_{\vec{x}} \bigvee_{i \in I} (\exists \vec{x}_i) \theta_i(\vec{x}_i, \vec{x})$$

is an axiom of \mathbb{T}' . Define \mathbb{T}' by the collection of these axioms.

Consequence: provability and Grothendieck topologies

Corollary:

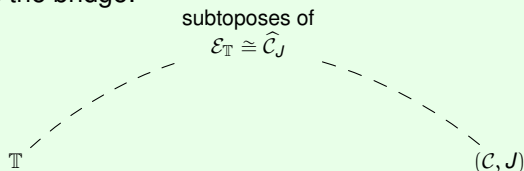
Let \mathbb{T} = geometric theory,

$\mathcal{E}_{\mathbb{T}}$ = classifying topos of \mathbb{T} written as $\mathcal{E}_{\mathbb{T}} \cong \widehat{\mathcal{C}}_J$.

Then there is a natural (constructible) one-to-one correspondence:

$$\left\{ \begin{array}{l} \text{quotient } \mathbb{T}' \text{ of } \mathbb{T}, \\ \text{up to equivalence} \end{array} \right\} \longleftrightarrow \left\{ \begin{array}{l} \text{topologies} \\ J' \supseteq J \text{ on } \mathcal{C} \end{array} \right\}$$

Remark: This is the bridge:



Remark:

So, a quotient theory \mathbb{T}' of \mathbb{T} is contradictory

if and only if J' is maximal

(i.e. the empty sieve is a J' -covering of any object of \mathcal{C}).

Topological translation of provability:

Let

\mathbb{T} = geometric theory of signature Σ ,

$(\varphi \vdash_{\bar{x}} \psi)$ = geometric sequent of Σ ,

\mathbb{T}' = quotient theory of \mathbb{T} defined by adding the axiom $\varphi \vdash_{\bar{x}} \psi$.

$\mathcal{E}_{\mathbb{T}}$ = classifying topos of \mathbb{T} written as $\mathcal{E}_{\mathbb{T}} \cong \widehat{\mathcal{C}}_J$,

$(J' \supseteq J)$ = topology on \mathcal{C} such that $\widehat{\mathcal{C}}_{J'} = \mathcal{E}_{\mathbb{T}'}$.

Then we have equivalences:

$(\varphi \vdash_{\bar{x}} \psi)$ is provable in \mathbb{T}

\Leftrightarrow

\mathbb{T}' is equivalent to \mathbb{T}

\Leftrightarrow

$J' = J$.

How to compute the classifying topos of a theory?

Given a geometric theory \mathbb{T} of signature Σ ,

how to construct $\begin{cases} \text{a (essentially) small category } \mathcal{C}, \\ \text{a topology } \mathcal{J} \text{ on } \mathcal{C}, \end{cases}$

such that

$$\mathcal{E} \cong \widehat{\mathcal{C}}_{\mathcal{J}} ?$$

Remarks:

- (i) For any \mathcal{E} ,
the collection of equivalences

$$\mathcal{E} \cong \widehat{\mathcal{C}}_{\mathcal{J}}$$

is so big that it is not even a set \dots

- (ii) One can always take

$$\begin{cases} \mathcal{C} = \mathcal{C}_{\mathbb{T}} = \text{syntactic category,} \\ \mathcal{J} = \mathcal{J}_{\mathbb{T}} = \text{syntactic topology,} \end{cases}$$

but, in general, it cannot be made fully explicit.

Presheaf-type theories:

Definition:

A geometric theory \mathbb{T}
is called “of presheaf type”
if its classifying topos $\mathcal{E}_{\mathbb{T}}$
can be written as a presheaf topos

$$\mathcal{E}_{\mathbb{T}} \cong \widehat{\mathcal{C}}$$

for some $\mathcal{C} =$ (essentially) small category.

Examples:

- (i) If $\mathbb{T} =$ empty theory (no axiom)
on some signature Σ ,
 \mathbb{T} is of presheaf-type.
- (ii) \mathbb{T} is of presheaf-type if it is algebraic, i.e.
 $\left\{ \begin{array}{l} \text{no relation symbol,} \\ \text{all axioms have the form } \top \vdash_{\vec{x}} f(\vec{x}) = g(\vec{x}) \text{ for } f, g = \text{terms.} \end{array} \right.$

Presheaf toposes:

Theorem:

Let $\mathcal{E} = \widehat{\mathcal{C}}$ for $\mathcal{C} =$ (essentially) small category.

Then:

- (i) The category of points of $\widehat{\mathcal{C}}$ is equivalent to the category of functors

$$P : \mathcal{C} \longrightarrow \text{Set}$$

which verify the following equivalent properties:

- (1) The category of “elements” of P

$$\int P = \{(X, x) \mid X = \text{object of } \mathcal{C}, x \in P(X)\}$$

is filtering.

- (2) P can be written as a filtering colimit of representable functors $\text{Hom}(X, \bullet)$, $X =$ object of \mathcal{C} .

- (ii) As a consequence,

$$\text{pt}(\widehat{\mathcal{C}}) \cong \text{Ind}(\mathcal{C}^{\text{op}}).$$

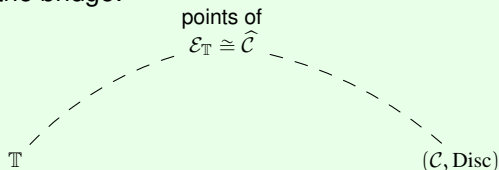
Application to models of presheaf-type theories

Corollary:

If $\mathbb{T} = \text{presheaf-type theory with } \mathcal{E}_{\mathbb{T}} \cong \widehat{\mathcal{C}},$

$\mathbb{T}\text{-mod}(\text{Set}) \cong \text{Ind}(\mathcal{C}^{\text{op}}).$

Remark: This is the bridge:



Remark:

So, the category

$\text{Ind}(\mathcal{C}^{\text{op}})$

is determined by \mathbb{T} , up to equivalence.

→ Question: To which extent is it possible to recover \mathcal{C} ?

Finitely presentable models:

Definition:

Let \mathbb{T} = presheaf-type theory.

A set-based model M of \mathbb{T} (= object of $\mathbb{T}\text{-mod}(\text{Set})$)
is called “finitely presentable”

if the functor

$$\text{Hom}(M, \bullet) : \mathbb{T}\text{-mod}(\text{Set}) \longrightarrow \text{Set}$$

respects filtering colimits.

Remark:

One can introduce

$$\mathbb{T}\text{-mod}(\text{Set})_{fp}$$

= full subcategory of $\mathbb{T}\text{-mod}(\text{Set})$
on finitely presentable models.

Proposition:

If $\mathcal{E}_{\mathbb{T}} \cong \widehat{\mathcal{C}}$

and so $\mathbb{T}\text{-mod}(\text{Set}) \cong \text{Ind}(\widehat{\mathcal{C}}^{\text{op}})$,

an object M of $\text{Ind}(\widehat{\mathcal{C}}^{\text{op}})$

is finitely presentable if and only if there exist

$$\left\{ \begin{array}{l} \text{an object } X \text{ of } \mathcal{C}^{\text{op}}, \\ \text{a pair of morphisms } M \begin{array}{c} \xleftarrow{p} \\ \xrightarrow{i} \end{array} \text{Hom}(X, \bullet) \text{ with } p \circ i = \text{id}_M. \end{array} \right.$$

Corollary:

If $\mathcal{E}_{\mathbb{T}} \cong \widehat{\mathcal{C}}$, then:

- (i) $\mathbb{T}\text{-mod}(\text{Set})_{fp} = \text{Karoubi completion of } \mathcal{C}^{\text{op}}$.
- (ii) $\mathcal{E}_{\mathbb{T}} \cong \widehat{\mathcal{M}^{\text{op}}}$ for $\mathcal{M} = \mathbb{T}\text{-mod}(\text{Set})_{fp}$.
- (iii) Any (set-based) model of \mathbb{T} is a filtering colimit of finitely presentable models.

Application to the computation of classifying toposes

Consider a geometric theory \mathbb{T} on a signature Σ .

→ Construct a presheaf-type theory \mathbb{T}' such that \mathbb{T} is a quotient of \mathbb{T}' .

Ex: Take for \mathbb{T}' the empty theory on Σ .

(Warning: It is not always a good choice.)

→ Consider the (essentially) small category

$$\mathcal{M} = \mathbb{T}'\text{-mod}(\text{Set})_{fp}$$

with $\mathcal{E}_{\mathbb{T}'} \cong \widehat{\mathcal{M}^{\text{op}}}$.

→ Compute the (unique) topology J on \mathcal{M}^{op} such that

$$\mathcal{E}_{\mathbb{T}} \cong (\widehat{\mathcal{M}^{\text{op}}})_J.$$

The problem of characterizing presheaf-type theories:

If we want to compute
the classifying topos $\mathcal{E}_{\mathbb{T}}$
of some geometric theory \mathbb{T} ,
one tries to write \mathbb{T} as
a quotient of a geometric theory \mathbb{T}'
which, hopefully, is presheaf-type.

→ Natural question:

How to prove that a geometric \mathbb{T}' is presheaf-type?

The syntactic characterization of presheaf-type theories

For any geometric theory \mathbb{T} ,
its classifying topos $\mathcal{E}_{\mathbb{T}}$ is associated to

$$\begin{cases} \mathcal{C}_{\mathbb{T}} = \text{syntactic category of } \mathbb{T}, \\ \mathcal{J}_{\mathbb{T}} = \text{syntactic topology of } \mathcal{C}_{\mathbb{T}} \end{cases}$$

and the canonical functor

$$\ell : \mathcal{C}_{\mathbb{T}} \hookrightarrow \widehat{\mathcal{C}_{\mathbb{T}}} \xrightarrow{J^*} \mathcal{E}_{\mathbb{T}}$$

is fully faithful.

Furthermore:

- objects of $\mathcal{C}_{\mathbb{T}}$ = geometric formulas $\varphi(\vec{x})$ on the signature Σ of \mathbb{T} ,
- morphisms of $\mathcal{C}_{\mathbb{T}}$ = geometric formulas
$$\theta(\vec{x}, \vec{y}) : \varphi(\vec{x}) \longrightarrow \psi(\vec{y})$$
which are \mathbb{T} -provably functional, up to \mathbb{T} -provable equivalence,
- $\mathcal{J}_{\mathbb{T}}$ -covering families = globally epimorphic families.

Definition:

- (i) An object E of a topos \mathcal{E} is called “irreducible” if any globally epimorphic family

$$E_i \longrightarrow E, \quad i \in I,$$

has a splitting

$$E \longrightarrow E_{i_0} \quad \text{for some } i_0 \in I$$

such that $(E \rightarrow E_{i_0} \rightarrow E) = \text{id}_E$.

- (ii) If $\begin{cases} \mathcal{C} = \text{(essentially) small category,} \\ J = \text{topology on } \mathcal{C}, \end{cases}$
an object X of \mathcal{C} is called “irreducible” if its only J -covering sieve is the maximal sieve.

- (iii) If $\mathbb{T} = \text{geometric theory of signature } \Sigma,$
a geometric formula of Σ

$$\varphi(\vec{X})$$

is called “irreducible” if it is irreducible as an object of $\mathcal{C}_{\mathbb{T}}$ for the topology $J_{\mathbb{T}}$.

Remark:

A geometric formula of Σ

$$\varphi(\vec{X})$$

is $J_{\mathbb{T}}$ -irreducible in $\mathcal{C}_{\mathbb{T}}$ if and only if:

For any family of \mathbb{T} -provably functional formulas

$$\varphi_i(\vec{X}_i) \xrightarrow{\theta_i(\vec{X}_i, \vec{X})} \varphi(\vec{X}), \quad i \in I,$$

such that $\varphi(\vec{X}) \vdash_{\vec{X}} \bigvee_{i \in I} (\exists \vec{X}_i) \theta_i(\vec{X}_i, \vec{X})$ is \mathbb{T} -provable,

there exist

- an index $i_0 \in I$,
 - a \mathbb{T} -provably functional formula
- $$\varphi(\vec{X}) \xrightarrow{\theta(\vec{X}, \vec{X}_{i_0})} \varphi_{i_0}(\vec{X}_{i_0})$$

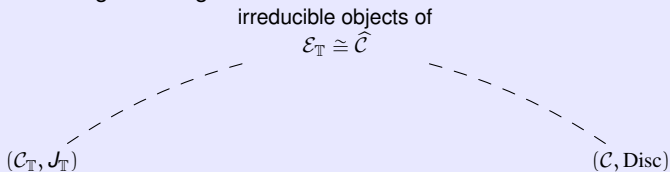
such that the formula

$$(\exists \vec{X}_{i_0})(\theta(\vec{X}, \vec{X}_{i_0}) \wedge \theta_{i_0}(\vec{X}_{i_0}, \vec{X}'))$$

is \mathbb{T} -provably equivalent to the formula

$$\vec{X} = \vec{X}'.$$

Lemma: Considering the bridge



we have:

- (i) If $\mathcal{E} = \widehat{\mathcal{C}}$, irreducible objects of \mathcal{E} are splittings of representable objects $\text{Hom}(\bullet, X)$, $X = \text{object of } \mathcal{C}$.
- (ii) If $\mathcal{E} = \mathcal{E}_{\mathbb{T}}$, irreducible objects of \mathcal{E} are irreducible formulas $\varphi(\vec{x})$.

Remarks:

- (i) If $\mathcal{E} = \widehat{\mathcal{C}}$, the Karoubi completion of \mathcal{C} is the full subcategory of \mathcal{E} on irreducible objects.
- (ii) If \mathbb{T} is presheaf-type, there is a one-to-one correspondence

$$\left\{ \begin{array}{l} \text{irreducible} \\ \text{formulas} \end{array} \right\} \longleftrightarrow \left\{ \begin{array}{l} \text{finitely presentable} \\ \text{set-based models of } \mathbb{T} \end{array} \right\}.$$

Theorem (Caramello):

Let $\mathbb{T} =$ geometric theory on a signature Σ .

Then \mathbb{T} is presheaf-type

if and only if

any geometric formula of Σ

$$\psi(\vec{y}),$$

considered as an object of $\mathcal{C}_{\mathbb{T}}$,

has a $J_{\mathbb{T}}$ -covering

$$\theta_i(\vec{x}_i, \vec{y}) : \varphi_i(\vec{x}_i) \longrightarrow \psi(\vec{y})$$

by irreducible formulas

$$\varphi_i(\vec{x}_i).$$

Another criterion for being presheaf-type: the equivalence of syntax and semantics

Theorem (Caramello):

Let $\mathbb{T} =$ geometric theory on a signature Σ .

Then \mathbb{T} is presheaf-type if and only if
the following 3 conditions are verified:

(1) For any geometric sequent of Σ

$$\varphi \vdash_{\bar{x}} \psi,$$

it is \mathbb{T} -provable if and only if
it is verified by all set-based models.

(2) Any finitely presentable set-based model

$M = \text{object of } \mathbb{T}\text{-mod}(\text{Set})_{fp}$

is finitely presented

by some (irreducible) geometric formula

$$\varphi(x_1^{A_1} \dots x_n^{A_n})$$

in the sense that,

for any set-based model N ,

there is a natural one-to-one correspondence

$$\left\{ \begin{array}{l} \text{model morphisms} \\ M \rightarrow N \end{array} \right\} \longleftrightarrow \left\{ \begin{array}{l} \text{families of elements} \\ (x_1^{A_1}, \dots, x_n^{A_n}) \in NA_1 \times \dots \times NA_n \\ \text{which verify the formula} \\ \varphi(x_1^{A_1}, \dots, x_n^{A_n}). \end{array} \right.$$

(3) For any sorts A_1, \dots, A_n of Σ
and any map

$$P: \begin{array}{ccc} M & \mapsto & MP \\ \parallel & & \parallel \\ \text{finitely presentable} & & \text{subset of} \\ \text{set-based model of } \mathbb{T} & & MA_1 \times \dots \times MA_n \end{array}$$

such that, any model morphism

$$u: M \rightarrow N$$

induces a commutative square

$$\begin{array}{ccc} MP & \xrightarrow{\quad} & NP \\ \downarrow & & \downarrow \\ MA_1 \times \dots \times MA_n & \xrightarrow{u_{A_1} \times \dots \times u_{A_n}} & NA_1 \times \dots \times NA_n \end{array}$$

then P is defined by a geometric formula

$$\varphi(x_1^{A_1} \dots x_n^{A_n}).$$

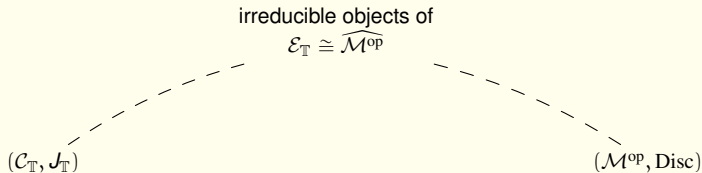
Proof in the direct sense:

(1) follows from the equivalence

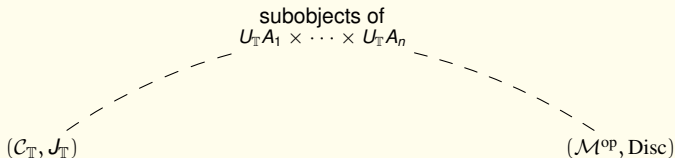
$$\widehat{(\mathcal{C}_{\mathbb{T}})}_{\mathcal{J}_{\mathbb{T}}} \cong \mathcal{E}_{\mathbb{T}} \cong \widehat{\mathcal{M}^{\text{op}}}$$

with $\mathcal{M} = \mathbb{T}\text{-mod}(\text{Set})_{fp}$.

(2) follows from the bridge:



(3) follows from the bridge



(for $U_{\mathbb{T}} =$ universal model of \mathbb{T} in $\mathcal{E}_{\mathbb{T}}$).

Application to the computation of classifying toposes:

Suppose that \mathbb{T}' is a quotient of \mathbb{T} ,
 \mathbb{T} is a presheaf-type theory and so

$$\mathcal{E}_{\mathbb{T}} \cong \widehat{\mathcal{M}}^{\text{op}}$$

for $\mathcal{M} = \mathbb{T}\text{-mod}(\text{Set})_{fp}$,
 $\mathcal{M}^{\text{op}} \cong$ full subcategory of $\mathcal{C}_{\mathbb{T}}$ on irreducible formulas.

Corollary: We have

$$\mathcal{E}_{\mathbb{T}'} \cong \widehat{(\mathcal{M}^{\text{op}})_J}$$

if J is the topology on \mathcal{M}^{op} defined in the following way:

{ A family of morphisms between irreducible formulas
 $\theta_i(\vec{x}_i, \vec{x}) : \varphi_i(\vec{x}) \longrightarrow \varphi(\vec{x}), \quad i \in I,$
(corresponding to a family of morphisms between finitely presented models
 $M \longrightarrow M_i, \quad i \in I)$
is J -covering if and only if the sequent
 $\varphi(\vec{x}) \vdash_{\vec{x}} \bigvee_{i \in I} (\exists \vec{x}_i) \theta_i(\vec{x}_i, \vec{x})$
is \mathbb{T}' -provable.